LEGISLATURE OF NEBRASKA

ONE HUNDRED FIRST LEGISLATURE

SECOND SESSION

LEGISLATIVE BILL 904

Introduced by Howard, 9.

Read first time January 12, 2010

Committee: Health and Human Services

A BILL

1	FOR	AN	ACT relating to assisted-living facilities; to amend
2			sections 71-406, 71-5901, 71-5903, 71-5904, 71-5905,
3			and 71-5908, Reissue Revised Statutes of Nebraska; to
4			define and redefine terms and to eliminate definitions;
5			to provide for enhanced assisted-living certificates; to
6			provide powers and duties; to harmonize provisions; and
7			to repeal the original sections.

1 Section 1. Section 71-406, Reissue Revised Statutes of

- 2 Nebraska, is amended to read:
- 3 71-406 (1) Assisted-living facility means a facility
- 4 where shelter, food, and care are provided for remuneration for
- 5 a period of more than twenty-four consecutive hours to four or
- 6 more persons residing at such facility who require or request
- 7 such services due to age, illness, or physical disability.
- 8 congregate residential facility that provides or coordinates
- 9 personal services, twenty-four-hour supervision and assistance,
- 10 whether scheduled or unscheduled, activities, and health-related
- 11 services to four or more persons residing at such facility, for
- 12 remuneration, which services, supervision and assistance, and
- 13 activities are designed to: (1) Minimize the need to move; (2)
- 14 accommodate residents' changing needs and preferences; (3) maximize
- 15 residents' dignity, autonomy, privacy, independence, and safety;
- and (4) encourage family and community involvement.
- 17 (2) Assisted-living facility does not include a home,
- 18 apartment, or facility where (a) casual care is provided at
- 19 irregular intervals or (b) a competent person residing in such
- 20 home, apartment, or facility provides for or contracts for his
- 21 or her own personal or professional services if no more than
- 22 twenty-five percent of persons residing in such home, apartment, or
- 23 facility receive such services.
- Sec. 2. Section 71-5901, Reissue Revised Statutes of
- 25 Nebraska, is amended to read:

1 71-5901 Sections 71-5901 to 71-5908 and sections 6 and 7

- 2 of this act shall be known and may be cited as the Assisted-Living
- 3 Facility Act.
- 4 Sec. 3. Section 71-5903, Reissue Revised Statutes of
- 5 Nebraska, is amended to read:
- 6 71-5903 For purposes of the Assisted-Living Facility Act:
- 7 (1) Activities of daily living means transfer,
- 8 ambulation, exercise, toileting, eating, self-administration of
- 9 medication, and similar activities;
- 10 (2) Administrator means the operating officer of an
- 11 assisted-living facility and includes a person with a title such
- 12 as administrator, chief executive officer, manager, superintendent,
- 13 director, or other similar designation;
- 14 (3) Aging in place means care and services at an
- 15 assisted-living facility which holds an enhanced assisted-living
- 16 certificate and which, to the extent practicable within the
- 17 scope of services set forth in the written residency agreement,
- 18 accommodates a resident's changing needs and preferences in order
- 19 to allow such resident to remain in the assisted-living facility
- 20 as long as the assisted-living facility is able and authorized
- 21 to accommodate the resident's needs. An assisted-living facility
- 22 that does not hold an enhanced assisted-living certificate shall
- 23 not be deemed able to accommodate a resident's needs if the
- 24 resident requires or is in need of enhanced assisted-living care,
- 25 twenty-four-hour skilled nursing care, or medical care;

1 (3) (4) Assisted-living facility has the same meaning as

- 2 in section 71-406;
- 3 (4) (5) Authorized representative means (a) a person
- 4 holding a durable power of attorney for health care, (b) a
- 5 guardian, or (c) a person appointed by a court to manage the
- 6 personal affairs of a resident of an assisted-living facility other
- 7 than the facility;
- 8 (5) (6) Chemical restraint means a psychopharmacologic
- 9 drug that is used for discipline or convenience and is not required
- 10 to treat medical symptoms;
- 11 (6) Complex nursing interventions means interventions
- 12 which require nursing judgment to safely alter standard procedures
- 13 in accordance with the needs of the resident, which require nursing
- 14 judgment to determine how to proceed from one step to the next,
- 15 or which require a multidimensional application of the nursing
- 16 process. Complex nursing interventions does not include a nursing
- 17 assessment;
- 18 (7) Department means the Department of Health and Human
- 19 Services;
- 20 (8) Enhanced assisted-living care means the care
- 21 or services provided pursuant to an enhanced assisted-living
- 22 certificate;
- 23 (9) Enhanced assisted-living certificate means a
- 24 certificate issued by the department pursuant to section 6 of this
- 25 act;

1 (10) Enhanced assisted-living resident means a resident

- 2 who is provided enhanced assisted-living care;
- 3 (8) (11) Health maintenance activities means noncomplex
- 4 interventions which can safely be performed according to exact
- 5 directions, which do not require alteration of the standard
- 6 procedure, and for which the results and resident responses are
- 7 predictable;
- 8 (9) (12) Personal care means bathing, hair care, nail
- 9 care, shaving, dressing, oral care, and similar activities; and
- 10 (13) Physical restraint means any manual method or
- 11 physical or mechanical device, material, or equipment attached or
- 12 adjacent to the resident's body that he or she cannot remove easily
- 13 and that restricts freedom of movement or normal access to his or
- 14 her own body. + and
- 15 (11) Stable or predictable means that a resident's
- 16 clinical and behavioral status and nursing care needs are
- 17 determined to be (a) nonfluctuating and consistent or (b)
- 18 fluctuating in an expected manner with planned interventions,
- 19 including an expected deteriorating condition.
- 20 Sec. 4. Section 71-5904, Reissue Revised Statutes of
- 21 Nebraska, is amended to read:
- 22 71-5904 Assisted living promotes resident self-direction,
- 23 and participation in care decisions, which emphasize independence,
- 24 individuality, privacy, and dignity. $_{7}$ and residential
- 25 surroundings.

1 To be eligible for admission to an assisted-living

- 2 facility, a person shall be in need of or wish to have available
- 3 room, board, assistance with or provision of personal care,
- 4 activities of daily living, or health maintenance activities,
- 5 or supervision. due to age, illness, or physical disability. The
- 6 administrator shall have the discretion regarding admission or
- 7 retention of residents subject to the Assisted-Living Facility Act
- 8 and rules and regulations adopted and promulgated under the act.
- 9 Sec. 5. Section 71-5905, Reissue Revised Statutes of
- 10 Nebraska, is amended to read:
- 11 71-5905 (1) An assisted-living facility shall adopt
- 12 standards for admission and retention of residents in accordance
- 13 with the purpose of the Assisted-Living Facility Act. An
- 14 assisted-living facility shall not admit or retain a resident
- 15 who requires complex nursing interventions or whose condition
- 16 is not stable or predictable unless: is eligible for enhanced
- 17 assisted-living care unless the assisted-living facility has
- 18 obtained an enhanced assisted-living certificate.
- 19 (a) The resident, if he or she is not a minor and is
- 20 competent to make a rational decision as to his or her needs or
- 21 care, or his or her authorized representative, and his or her
- 22 physician or a registered nurse agree that admission or retention
- 23 of the resident is appropriate;
- 24 (b) The resident or his or her authorized representative
- 25 agrees to arrange for the care of the resident through appropriate

1 private duty personnel, a licensed home health agency, or a

- 2 licensed hospice; and
- 3 (c) The resident's care does not compromise the facility
- 4 operations or create a danger to others in the facility.
- 5 (2) Health maintenance activities at an assisted-living
- 6 facility shall be performed in accordance with the Nurse Practice
- 7 Act and the rules and regulations adopted and promulgated under the
- 8 act.
- 9 Sec. 6. (1) The department may issue enhanced
- 10 assisted-living certificates pursuant to this section. An enhanced
- 11 assisted-living certificate authorizes an assisted-living facility
- 12 to accommodate aging in place by admitting and retaining residents
- 13 who desire to continue to age in place and who: (a) Are chronically
- 14 chairfast and unable to transfer or chronically require the
- 15 physical assistance of another person to transfer; (b) chronically
- 16 require the physical assistance of another person in order to walk;
- 17 (c) chronically require the physical assistance of another person
- 18 to climb or descend stairs; (d) are dependent on medical equipment
- 19 and require more than intermittent or occasional assistance from
- 20 medical personnel; or (e) have chronic unmanaged urinary or bowel
- 21 incontinence.
- 22 (2) An assisted-living facility may apply to the
- 23 department on a form approved by the department to obtain an
- 24 enhanced assisted-living certificate. The assisted-living facility
- 25 may apply for an enhanced assisted-living certificate for the

1 entire assisted-living facility or for any number of beds within

- 2 the assisted-living facility. The application shall be accompanied
- 3 by a nonrefundable application fee of XX dollars.
- 4 (3) The application shall include, but not be limited
- 5 to, the name and address of the applicant, the number of beds
- 6 in the assisted-living facility, the number of beds to which the
- 7 enhanced assisted-living certificate would apply, if less than
- 8 the total number of beds in the assisted-living facility, a plan
- 9 setting forth how the additional needs of enhanced assisted-living
- 10 residents will be safely and appropriately met by the applicant,
- 11 and such other information required by the department. The plan
- 12 required by this section shall include, but need not be limited to,
- 13 a written description of services, staffing levels, staff education
- 14 and training, work experience, any modifications of the applicant's
- 15 environment that have been made or will be made to protect the
- 16 health, safety, and welfare of enhanced assisted-living residents,
- 17 and any other information the department may require pursuant to
- 18 rules and regulations.
- 19 Sec. 7. (1) An assisted-living facility shall not provide
- 20 enhanced assisted-living care without an enhanced assisted-living
- 21 certificate issued by the department.
- 22 (2) In addition to any other requirements established
- 23 by the department, an assisted-living facility holding an enhanced
- 24 assisted-living certificate may hire care staff directly pursuant
- 25 to standards developed by the department or may contract with

1 appropriate private duty personnel, a licensed home health agency,

- 2 or a licensed hospice.
- 3 (3) An assisted-living facility resident shall not be
- 4 permitted to continue to age in place under the terms of an
- 5 enhanced assisted-living certificate unless the assisted-living
- 6 facility, the resident's physician, and, if applicable, the
- 7 resident's licensed home health agency agree that the additional
- 8 needs of the resident can be safely and appropriately met at the
- 9 assisted-living facility offering enhanced assisted-living care
- 10 pursuant to an enhanced assisted-living certificate. A resident
- 11 eligible for enhanced assisted-living care or his or her authorized
- 12 representative shall submit to the assisted-living facility a
- 13 written report from the resident's physician, which report shall
- 14 state that:
- 15 (a) The physician has physically examined the resident
- 16 within the last month; and
- 17 (b) The resident is not in need of twenty-four-hour
- 18 skilled nursing care or medical care which would require his or her
- 19 placement in a hospital or nursing home.
- 20 (4) The assisted-living facility shall notify each
- 21 enhanced assisted-living resident that, while the assisted-living
- 22 facility will make reasonable efforts to facilitate the resident's
- 23 ability to age in place pursuant to an enhanced assisted-living
- 24 certificate, if the needs of the enhanced assisted-living resident
- 25 cannot be safely or appropriately met, the assisted-living facility

1 may require the transfer of the enhanced assisted-living resident

- 2 to a more appropriate facility.
- 3 (5) If an enhanced assisted-living resident is in need of
- 4 twenty-four-hour skilled nursing care or medical care, the enhanced
- 5 assisted-living resident may remain at an assisted-living facility
- 6 holding an enhanced assisted-living certificate if each of the
- 7 following conditions are met:
- 8 (a) The enhanced assisted-living resident hires, at his
- 9 or her own expense, appropriate nursing, medical, or hospice
- 10 personnel to care for the enhanced assisted-living resident's
- 11 increased needs;
- 12 (b) The enhanced assisted-living resident's physician and
- 13 licensed home health agency both determine and document that, with
- 14 the provision of such additional nursing, medical, or hospice care,
- 15 the enhanced assisted-living resident can be safely cared for in
- 16 the assisted-living facility and would not require placement in a
- 17 hospital or nursing home;
- (c) The administrator of the assisted-living facility
- 19 agrees to retain the enhanced assisted-living resident and to
- 20 coordinate the care provided by the assisted-living facility and
- 21 the additional nursing, medical, or hospice staff employed by the
- 22 enhanced assisted-living resident pursuant to subdivision (5)(a) of
- 23 this section; and
- 24 (d) The enhanced assisted-living resident is otherwise
- 25 eligible to reside at the assisted-living facility.

1 (6) The Assisted-Living Facility Act does not require

- 2 an assisted-living facility to obtain an enhanced assisted-living
- 3 certificate.
- 4 Sec. 8. Section 71-5908, Reissue Revised Statutes of
- 5 Nebraska, is amended to read:
- 6 71-5908 The department shall adopt and promulgate rules
- 7 and regulations necessary to carry out the Assisted-Living Facility
- 8 Act, including, but not limited to, rules and regulations which:
- 9 (1) Prohibit the use of chemical or physical restraints
- 10 at an assisted-living facility;
- 11 (2) Require that a criminal background check be conducted
- 12 on all persons employed as direct care staff at an assisted-living
- 13 facility;
- 14 (3) Establish initial and ongoing training requirements
- 15 for administrators and approved curriculum for such training. Such
- 16 requirements shall consist of thirty hours of initial training,
- 17 including, but not limited to, training in resident care and
- 18 services, social services, financial management, administration,
- 19 gerontology, and rules, regulations, and standards relating to the
- 20 operation of an assisted-living facility. The department may waive
- 21 initial training requirements established under this subdivision
- 22 for persons employed as administrators of assisted-living
- 23 facilities on January 1, 2005, upon application to the department
- 24 and documentation of equivalent training or experience satisfactory
- 25 to the department. Training requirements established under this

1 subdivision shall not apply to an administrator who is also a

- 2 nursing home administrator or a hospital administrator; and
- 3 (4) Provide for acceptance of accreditation by a
- 4 recognized independent accreditation body or public agency, which
- 5 has standards that are at least as stringent as those of the
- 6 State of Nebraska, as evidence that the assisted-living facility
- 7 complies with rules and regulations adopted and promulgated under
- 8 the Assisted-Living Facility Act; -
- 9 <u>(5) Require at least one direct care staff member to be</u>
- 10 on the premises and awake at all times;
- 11 (6) Provide for an annual survey of assisted-living
- 12 facilities;
- 13 <u>(7) Establish training requirements in cardiopulmonary</u>
- 14 resuscitation and first aid;
- 15 (8) Establish application and issuance requirements
- 16 for enhanced assisted-living certificates and standards for
- 17 assisted-living facilities and personnel providing enhanced
- 18 assisted-living care; and
- 19 (9) Require the development of a disaster-response plan
- 20 for assisted-living facilities and training of staff in the
- 21 <u>implementation of such disaster-response plan.</u>
- 22 Sec. 9. Original sections 71-406, 71-5901, 71-5903,
- 23 71-5904, 71-5905, and 71-5908, Reissue Revised Statutes of
- 24 Nebraska, are repealed.