



STATE OF NEBRASKA
DEPARTMENT OF BANKING AND FINANCE

PETE RICKETTS
GOVERNOR

MARK QUANDAHL
DIRECTOR

March 21, 2016

To: Pete Ricketts, Governor; Patrick J. O'Donnell, Clerk of the Legislature
From: Mark Quandahl, Director *MQ*

Please accept this update to the 2015 Report of the Nebraska Department of Banking and Finance submitted in accordance with Neb. Rev. Stat. §4-113 [Section 6 of LB 403, 2009 Legislature].

The Department previously reported:

Total number rejected for benefits due to restrictions of the Act:

1. Employment and Contracts: 0
2. Bank Executive Officer Licenses: 0
3. Mortgage Banker Licenses-Sole Proprietors: 0
4. Mortgage Loan Originators Licenses: 0
5. Delayed Deposit Services Licenses-Sole Proprietors: 0
6. Installment Loan Licenses-Sole Proprietors: 0
7. Credit Union Loan Officer Licenses: 0
8. Broker-Dealer Agent Registrations: 0*
9. Investment Adviser Representative Registrations: 0**
10. Issuer Dealer Registrations: 0

*909 still in process

**13 still in process

The Department updates this information as follows:

Total number rejected for benefits due to restrictions of the Act:

1. Employment and Contracts: 0
2. Bank Executive Officer Licenses: 0
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5. Delayed Deposit Services Licenses-Sole Proprietors: 0
6. Installment Loan Licenses-Sole Proprietors: 0
7. Credit Union Loan Officer Licenses: 0
8. Broker-Dealer Agent Registrations: 0*
9. Investment Adviser Representative Registrations: 0
10. Issuer Dealer Registrations: 0

*19 still in process. Notices of termination will be issued at the end of this month for applicants who have not submitted the citizenship attestation.

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