

October 31, 2014

Patrick J. O'Donnell
Clerk of the Legislature
State Capitol, Room 2018
Lincoln, NE 68509-4604

Dear Mr. O'Donnell,

Attached is the FY15 First Quarter report reflecting expenditures from Program 038 to be submitted the Health & Human Services Committee and the Appropriations Committee as required by LB 195 (2013).

The report reflects overall Program 038 expenditures for July through September 2014, as well as specific information for contracts for behavioral health services through the Regional Behavioral Health Authorities.

Please do not hesitate to contact me if you have questions on the report.

Respectfully,



Karen R. Harker
Federal & Fiscal Performance Administrator
DHHS Division of Behavioral Health

**Nebraska Information System
Fiscal Year 2015 Summary of Expenditures
Behavioral Health Aid Program
As of September 30, 2014**

S/P	Description	State Fund Budget	State Fund Expenditures	Federal Fund Budget	Federal Fund Expenditures	Percentage of Year Past: 25.00%			
						Budget Total	Total SFY15 Expenditures	Balance	% Expended
01	Mental Health Regions	\$38,928,067	10,619,457.79	\$1,932,067	324,601.41	\$40,860,134	\$10,944,059.20	\$29,916,074.80	26.78%
01	Lasting Hope Recovery Center	\$6,550,000	2,081,129.61	\$0	0.00	\$6,550,000	\$2,081,129.61	\$4,468,870.39	31.77%
01	Substance Abuse Regions	\$20,552,096	5,227,517.61	\$4,686,465	1,118,649.36	\$25,238,561	\$6,346,166.97	\$18,892,394.03	25.14%
Regional MH/SA Funds		\$66,030,163	17,928,105.01	\$6,618,532	1,443,250.77	\$72,648,695	19,371,355.78	\$53,277,339.22	26.66%
01	5% EBP Set Aside/TBD	\$0	0.00	\$107,615	0.00	\$107,615	0.00	\$107,615.00	0.00%
07	Region Prevention	\$317,679	56,082.91	\$1,600,360	485,333.80	\$1,918,039	\$541,416.71	\$1,376,622.29	28.23%
07	Non-Region Prevention Activities	\$30,800	1,750.00	\$1,170,559	457,927.68	\$1,201,359	\$459,677.68	\$741,681.32	38.26%
Prevention Programs		\$348,479	57,832.91	\$2,770,919	\$943,261.48	\$3,119,398	1,001,094.39	\$2,118,303.61	32.09%
08	Native American Mental Health	\$729,900	119,772.92	\$0	0.00	\$729,900	\$119,772.92	\$610,127.08	16.41%
08	Native American Substance Abuse	\$712,767	105,720.18	\$0	0.00	\$712,767	\$105,720.18	\$607,046.82	14.83%
Native American MH/SA Funds		\$1,442,667	225,493.10	\$0	0.00	\$1,442,667	225,493.10	\$1,217,173.90	15.63%
10	Mental Health Homeless (PATH)	\$0	0.00	\$288,000	89,194.77	\$288,000	\$89,194.77	\$198,805.23	30.97%
23	NE Housing Assistance Program	\$2,600,000	763,727.73	\$0	0.00	\$2,600,000	\$763,727.73	\$1,836,272.27	29.37%
Homeless/Housing Assistance		\$2,600,000	763,727.73	\$288,000	89,194.77	\$2,888,000	852,922.50	\$2,035,077.50	29.53%
12	ASO/Managed Care	\$1,122,980	280,744.97	\$0	0.00	\$1,122,980	280,744.97	\$842,235.03	25.00%
21	MH State Wide Training	\$215,000	81,530.30	\$5,000	5,175.00	\$220,000	\$86,705.30	\$133,294.70	39.41%
21	SA State Wide Training	\$80,000	40,879.91	\$246,426	26,463.76	\$326,426	\$67,343.67	\$259,082.33	20.63%
Statewide Training		\$295,000	122,410.21	\$251,426	31,638.76	\$546,426	154,048.97	\$392,377.03	28.19%
17	MH Consumer/Family Support	\$157,045	27,588.39	\$0	0.00	\$157,045	\$27,588.39	\$129,456.61	17.57%
17	SA Consumer/Family Support	\$100,500	17,875.00	\$0	0.00	\$100,500	\$17,875.00	\$82,625.00	17.79%
Consumer/Family Support		\$257,545	45,463.39	\$0	0.00	\$257,545	45,463.39	\$212,081.61	17.65%
19	Indigent Drug Reimbursement	\$2,100,000	\$389,647.21	\$0	0.00	\$2,100,000	389,647.21	\$1,710,352.79	18.55%
20	Rural Mental Health Vouchers	\$209,633	\$51,231.00	\$0	0.00	\$209,633	51,231.00	\$158,402.00	24.44%
24	Women's Set Aside (Region)	\$1,673,413	\$439,792.40	\$468,479	155,265.33	\$2,141,892	595,057.73	\$1,546,834.27	27.78%
25	LB 603 Children Activities	\$1,000,000	\$292,851.27	\$0	0.00	\$1,000,000	292,851.27	\$707,148.73	29.29%
26	Family Navigators (LB603)	\$914,047	\$49,115.64	\$0	0.00	\$914,047	49,115.64	\$864,931.36	5.37%
MOE repayment / GAP Authority		\$4,032,567	0.00	\$0	0.00	\$4,032,567	0.00	\$4,032,567.00	0.00%
Total Behavioral Health Program		\$82,026,494	\$20,646,414.84	\$10,504,971	\$2,662,611.11	\$92,531,465	23,309,025.95	\$69,222,439.05	25.19%

Summary Region v Non Region	State Fund Budget	State Fund Expenditures	Federal Fund Budget	Federal Fund Expenditures	Budget Total	Total SFY15 Expenditures	Balance	% Expended
Region Contract Expenditures	\$65,071,255	\$17,399,429.71	\$8,511,944	\$2,083,849.90	\$73,583,199	\$19,483,279.61	\$54,099,919.39	26.48%
Non-Region Contract Expenditures	\$16,955,239	\$3,246,985.13	\$1,993,027	\$578,761.21	\$18,948,266	\$3,825,746.34	\$15,122,519.66	20.19%
Total Behavioral Health Program	\$82,026,494	\$20,646,414.84	\$10,504,971	\$2,662,611.11	\$92,531,465	\$23,309,025.95	\$69,222,439.05	25.19%

Prepared by: Patrick Paulsen, Accountant II, Federal & Fiscal Performance Team

References: NIS FY 2015 Summary of Expenditures, Behavioral Health Aid Program 038, As of Sept 30, 2014; FY15 State, FY15 SAPTBG, & FY15 MHBG Monthly Reconciliations

Note: A portion of the Strategic Prevention Framework grant is contracted to the Regions, but is included in the Non-region Contract Expenditures category

Note: Funds designated for "MOE repayments(\$2,649,947) & GAP Authority(\$1,382,620)" have been set aside for two potential Federal penalties due to Legislative reductions in funding for FY14 & FY15. Should those occur, a reduction in federal block grant funds will also occur.

Note: Budget amounts do not reflect FY14 funds to maintain services.

**Nebraska Information System
Fiscal Year 2015 Summary of Expenditures
Behavioral Health Aid Program
As of September 30, 2014**

Expenditure by Region by Level of care

Region 1

Mental Health	State Fund Budget	State Fund Expenditures	Federal Fund Budget	Federal Fund Expenditures	Budget Total	Total SFY15 Expenditures	Balance	% Expended
Emergency	\$478,897	\$127,441.83	\$0	\$0.00	\$478,897	\$127,441.83	\$351,455.17	26.61%
Inpatient	\$420,912	\$52,588.85	\$0	\$0.00	\$420,912	\$52,588.85	\$368,323.15	12.49%
Residential	\$28,045	\$20,319.62	\$0	\$0.00	\$28,045	\$20,319.62	\$7,725.38	72.45%
Non-Residential	\$1,417,365	\$289,100.57	\$52,632	\$26,317.91	\$1,469,997	\$315,418.48	\$1,154,578.52	21.46%
Children's	\$615,714	\$178,841.88	\$123,679	\$21,842.27	\$739,393	\$200,684.15	\$538,708.85	27.14%
Coordination/Administration	\$215,511	\$78,367.89	\$0	\$0.00	\$215,511	\$78,367.89	\$137,143.11	36.36%
Total Mental Health	\$3,176,444	\$746,660.64	\$176,311	\$48,160.18	\$3,352,755	\$794,820.82	\$2,557,934.18	23.71%

Substance Abuse	State Fund Budget	State Fund Expenditures	Federal Fund Budget	Federal Fund Expenditures	Budget Total	Total SFY15 Expenditures	Balance	% Expended
Emergency	\$100,785	\$24,340.58	\$44,433	\$5,666.35	\$145,218	\$30,006.93	\$115,211.07	20.66%
Inpatient	\$0	\$0.00	\$0	\$0.00	\$0	\$0.00	\$0.00	0.00%
Residential	\$640,189	\$123,951.80	\$129,342	\$8,903.11	\$769,531	\$132,854.91	\$636,676.09	17.26%
Non-Residential	\$406,322	\$103,212.09	\$257,433	\$70,960.82	\$663,755	\$174,172.91	\$489,582.09	26.24%
Children's	\$30,038	\$11,777.32	\$11,921	-\$1,215.73	\$41,959	\$10,561.59	\$31,397.41	25.17%
Prevention	\$15,112	\$3,687.96	\$114,346	\$36,757.72	\$129,458	\$40,445.68	\$89,012.32	31.24%
Coordination/Administration	\$64,495	\$34,781.89	\$99,805	\$14,702.48	\$164,300	\$49,484.37	\$114,815.63	30.12%
Total Substance Abuse	\$1,256,941	\$301,751.64	\$657,280	\$135,774.75	\$1,914,221	\$437,526.39	\$1,476,694.61	22.86%

Grand Total FY15	\$4,433,385	\$1,048,412.28	\$833,591	\$183,934.93	\$5,266,976	\$1,232,347.21	\$4,034,628.79	23.40%
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Region 2

Mental Health	State Fund Budget	State Fund Expenditures	Federal Fund Budget	Federal Fund Expenditures	Budget Total	Total SFY15 Expenditures	Balance	% Expended
Emergency	\$470,141	\$165,423.61	\$0	\$0.00	\$470,141	\$165,423.61	\$304,717.39	35.19%
Inpatient	\$338,163	\$68,203.52	\$0	\$0.00	\$338,163	\$68,203.52	\$269,959.48	20.17%
Residential	\$0	\$0.00	\$0	\$0.00	\$0	\$0.00	\$0.00	0.00%
Non-Residential	\$1,386,249	\$489,518.63	\$176,718	\$18,160.51	\$1,562,967	\$507,679.14	\$1,055,287.86	32.48%
Children's	\$433,755	\$154,800.58	\$0	\$0.00	\$433,755	\$154,800.58	\$278,954.42	35.69%
Coordination/Administration	\$532,546	\$159,642.16	\$0	\$0.00	\$532,546	\$160,797.50	\$371,748.50	30.19%
Total Mental Health	\$3,160,854	\$1,037,588.50	\$176,718	\$18,160.51	\$3,337,572	\$1,056,904.35	\$2,280,667.65	31.67%

Substance Abuse	State Fund Budget	State Fund Expenditures	Federal Fund Budget	Federal Fund Expenditures	Budget Total	Total SFY15 Expenditures	Balance	% Expended
Emergency	\$116,246	\$60,304.72	\$0	\$0.00	\$116,246	\$60,304.72	\$55,941.28	51.88%
Inpatient	\$0	\$0.00	\$0	\$0.00	\$0	\$0.00	\$0.00	0.00%
Residential	\$715,759	\$155,855.40	\$151,644	\$20,014.28	\$867,403	\$175,869.68	\$691,533.32	20.28%
Non-Residential	\$530,250	\$158,975.61	\$0	\$22,788.58	\$530,250	\$181,764.19	\$348,485.81	34.28%
Children's	\$36,187	\$1,728.50	\$0	\$0.00	\$36,187	\$1,728.50	\$34,458.50	4.78%
Prevention	\$0	\$0.00	\$276,255	\$88,819.37	\$276,255	\$88,819.37	\$187,435.63	32.15%
Coordination/Administration	\$108,702	\$57,143.21	\$0	\$10,941.97	\$108,702	\$66,929.84	\$41,772.16	61.57%
Total Substance Abuse	\$1,507,144	\$434,007.44	\$427,899	\$142,564.20	\$1,935,043	\$575,416.30	\$1,359,626.70	29.74%

Grand Total FY15	\$4,667,998	\$1,471,595.94	\$604,617	\$160,724.71	\$5,272,615	\$1,632,320.65	\$3,640,294.35	30.96%
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**Nebraska Information System
Fiscal Year 2015 Summary of Expenditures
Behavioral Health Aid Program
As of September 30, 2014**

Region 3

	State Fund Budget	State Fund Expenditures	Federal Fund Budget	Federal Fund Expenditures	Budget Total	Total SFY15 Expenditures	Balance	% Expended
Mental Health								
Emergency	\$1,291,936	\$289,856.23	\$0	\$0.00	\$1,291,936	\$289,856.23	\$1,002,079.77	22.44%
Inpatient	\$889,281	\$247,642.71	\$0	\$0.00	\$889,281	\$247,642.71	\$641,638.29	27.85%
Residential	\$62,433	\$4,256.61	\$0	\$0.00	\$62,433	\$4,256.61	\$58,176.39	6.82%
Non-Residential	\$2,743,040	\$730,208.09	\$105,185	\$13,337.80	\$2,848,225	\$743,545.89	\$2,104,679.11	26.11%
Children's	\$1,385,824	\$369,865.99	\$142,987	\$18,741.71	\$1,528,811	\$388,607.70	\$1,140,203.30	25.42%
Coordination/Administration	\$372,205	\$151,076.30	\$0	\$0.00	\$372,205	\$151,076.30	\$221,128.70	40.59%
Total Mental Health	\$6,744,719	\$1,792,905.93	\$248,172	\$32,079.51	\$6,992,891	\$1,824,985.44	\$5,167,905.56	26.10%
Substance Abuse								
Emergency	\$727,039	\$194,211.62	\$0	\$0.00	\$727,039	\$194,211.62	\$532,827.38	26.71%
Inpatient	\$0	\$0.00	\$0	\$0.00	\$0	\$0.00	\$0.00	0.00%
Residential	\$1,971,171	\$436,683.54	\$420,033	\$114,557.77	\$2,391,204	\$551,241.31	\$1,839,962.69	23.05%
Non-Residential	\$609,259	\$176,060.40	\$221,041	\$46,411.74	\$830,300	\$222,472.14	\$607,827.86	26.79%
Children's	\$31,498	\$6,871.52	\$0	\$0.00	\$31,498	\$6,871.52	\$24,626.48	21.82%
Prevention	\$200,046	\$64,663.08	\$234,954	\$46,929.97	\$435,000	\$111,593.05	\$323,406.95	25.65%
Coordination/Administration	\$268,783	\$39,795.08	\$112,263	\$28,916.23	\$381,046	\$68,711.31	\$312,334.69	18.03%
Total Substance Abuse	\$3,807,796	\$918,285.24	\$988,291	\$236,815.71	\$4,796,087	\$1,155,100.95	\$3,640,986.05	24.08%
Grand Total FY15	\$10,552,515	\$2,711,191.17	\$1,236,463	\$268,895.22	\$11,788,978	\$2,980,086.39	\$8,808,891.61	25.28%

Region 4

	State Fund Budget	State Fund Expenditures	Federal Fund Budget	Federal Fund Expenditures	Budget Total	Total SFY15 Expenditures	Balance	% Expended
Mental Health								
Emergency	\$651,739	\$156,002.97	\$0	\$0.00	\$651,739	\$156,002.97	\$495,736.03	23.94%
Inpatient	\$1,269,445	\$399,783.88	\$0	\$0.00	\$1,269,445	\$399,783.88	\$869,661.12	31.49%
Residential	\$266,874	\$47,619.90	\$0	\$0.00	\$266,874	\$47,619.90	\$219,254.10	17.84%
Non-Residential	\$2,527,781	\$652,110.20	\$98,413	\$18,111.00	\$2,626,194	\$670,221.20	\$1,955,972.80	25.52%
Children's	\$455,459	\$131,872.90	\$155,334	\$29,054.10	\$610,793	\$160,927.00	\$449,866.00	26.35%
Coordination/Administration	\$400,152	\$67,016.14	\$0	\$0.00	\$400,152	\$67,016.14	\$333,135.86	16.75%
Total Mental Health	\$5,571,450	\$1,454,405.99	\$253,747	\$47,165.10	\$5,825,197	\$1,501,571.09	\$4,323,625.91	25.78%
Substance Abuse								
Emergency	\$86,786	\$23,094.41	\$0	\$0.00	\$86,786	\$23,094.41	\$63,691.59	26.61%
Inpatient	\$0	\$0.00	\$0	\$0.00	\$0	\$0.00	\$0.00	0.00%
Residential	\$2,121,644	\$647,201.64	\$379,779	\$135,657.97	\$2,501,423	\$782,859.61	\$1,718,563.39	31.30%
Non-Residential	\$667,302	\$169,846.49	\$237,014	\$80,991.72	\$904,316	\$250,838.21	\$653,477.79	27.74%
Children's	\$8,149	-\$421.67	\$22,835	\$1,106.18	\$30,984	\$684.51	\$30,299.49	2.21%
Prevention	\$26,135	-\$17,437.93	\$179,580	\$50,931.91	\$205,715	\$33,493.98	\$172,221.02	16.28%
Coordination/Administration	\$141,579	\$28,869.09	\$68,722	\$23,599.96	\$210,301	\$52,469.05	\$157,831.95	24.95%
Total Substance Abuse	\$3,051,595	\$851,152.03	\$887,930	\$292,287.74	\$3,939,525	\$1,143,439.77	\$2,796,085.23	29.02%
Grand Total FY15	\$8,623,045	\$2,310,304.42	\$1,141,677	\$334,706.44	\$9,764,722	\$2,645,010.86	\$7,119,711.14	27.09%

Nebraska Information System
Fiscal Year 2015 Summary of Expenditures
Behavioral Health Aid Program
As of September 30, 2014

Region 5

Mental Health	State Fund Budget	State Fund Expenditures	Federal Fund Budget	Federal Fund Expenditures	Budget Total	Total SFY15 Expenditures	Balance	% Expended
Emergency	\$837,503	\$209,370.45	\$0	\$0.00	\$837,503	\$209,370.45	\$628,132.55	25.00%
Inpatient	\$1,610,724	\$441,194.87	\$0	\$0.00	\$1,610,724	\$441,194.87	\$1,169,529.13	27.39%
Residential	\$253,642	\$87,035.65	\$15,000	\$5,442.92	\$268,642	\$92,478.57	\$176,163.43	34.42%
Non-Residential	\$3,968,255	\$1,076,338.60	\$149,898	\$46,490.10	\$4,118,153	\$1,122,828.70	\$2,995,324.30	27.27%
Children's	\$1,502,643	\$396,904.45	\$239,630	\$39,000.93	\$1,742,273	\$435,905.38	\$1,306,367.62	25.02%
Coordination/Administration	\$389,274	\$332,489.33	\$0	\$0.00	\$389,274	\$332,489.33	\$56,784.67	85.41%
Total Mental Health	\$8,562,041	\$2,543,333.35	\$404,528	\$90,933.95	\$8,966,569	\$2,634,267.30	\$6,332,301.70	29.38%

Substance Abuse	State Fund Budget	State Fund Expenditures	Federal Fund Budget	Federal Fund Expenditures	Budget Total	Total SFY15 Expenditures	Balance	% Expended
Emergency	\$908,661	\$171,758.08	\$194,164	\$36,775.93	\$1,102,825	\$208,534.01	\$894,290.99	18.91%
Inpatient	\$0	\$0.00	\$0	\$0.00	\$0	\$0.00	\$0.00	0.00%
Residential	\$3,962,508	\$992,029.24	\$459,511	\$125,160.74	\$4,422,019	\$1,117,189.98	\$3,304,829.02	25.26%
Non-Residential	\$1,342,343	\$337,028.13	\$308,437	\$77,770.49	\$1,650,780	\$414,798.62	\$1,235,981.38	25.13%
Children's	\$102,403	\$18,629.24	\$125,535	\$10,591.46	\$227,938	\$29,220.70	\$198,717.30	12.82%
Prevention	\$7,649	\$254.83	\$325,575	\$138,127.95	\$333,224	\$138,382.78	\$194,841.22	41.53%
Coordination/Administration	\$400,892	\$108,789.59	\$167,254	\$44,898.00	\$568,146	\$153,687.59	\$414,458.41	27.05%
Total Substance Abuse	\$6,724,456	\$1,628,489.11	\$1,580,476	\$433,324.57	\$8,304,932	\$2,061,813.68	\$6,243,118.32	24.83%

Grand Total FY15	\$15,286,497	\$4,171,822.46	\$1,985,004	\$524,258.52	\$17,271,501	\$4,696,080.98	\$12,575,420.02	27.19%
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Region 6

Mental Health	State Fund Budget	State Fund Expenditures	Federal Fund Budget	Federal Fund Expenditures	Budget Total	Total SFY15 Expenditures	Balance	% Expended
Emergency	\$2,584,096	\$676,391.71	\$129,429	\$21,173.87	\$2,713,525	\$697,565.58	\$2,015,959.42	25.71%
Inpatient	\$670,972	\$210,660.95	\$0	\$0.00	\$670,972	\$210,660.95	\$460,311.05	31.40%
Residential	\$614,887	\$187,717.11	\$0	\$0.00	\$614,887	\$187,717.11	\$427,169.89	30.53%
Non-Residential	\$7,956,378	\$2,133,107.32	\$367,735	\$66,928.27	\$8,324,113	\$2,200,035.59	\$6,124,077.41	26.43%
Children's	\$2,576,380	\$662,597.50	\$0	\$0.00	\$2,576,380	\$662,597.50	\$1,913,782.50	25.72%
Coordination/Administration	\$732,490	\$230,667.95	\$0	\$0.00	\$732,490	\$230,667.95	\$501,822.05	31.49%
Total Mental Health	\$15,135,203	\$4,101,142.54	\$497,164	\$88,102.14	\$15,632,367	\$4,189,244.68	\$11,443,122.32	26.80%

Substance Abuse	State Fund Budget	State Fund Expenditures	Federal Fund Budget	Federal Fund Expenditures	Budget Total	Total SFY15 Expenditures	Balance	% Expended
Emergency	\$1,186,524	\$222,268.78	\$276,840	\$50,603.86	\$1,463,364	\$272,872.64	\$1,190,491.36	18.65%
Inpatient	\$0	\$0.00	\$0	\$0.00	\$0	\$0.00	\$0.00	0.00%
Residential	\$3,523,429	\$943,672.49	\$342,889	\$76,596.79	\$3,866,318	\$1,020,269.28	\$2,846,048.72	26.39%
Non-Residential	\$1,370,744	\$362,126.92	\$1,129,330	\$279,366.15	\$2,500,074	\$641,493.07	\$1,858,580.93	25.66%
Children's	\$0	\$457.18	\$0	\$0.00	\$0	\$457.18	-\$457.18	0.00%
Prevention	\$7,627	\$4,026.33	\$358,959	\$91,361.94	\$366,586	\$95,388.27	\$271,197.73	26.02%
Coordination/Administration	\$284,284	\$57,155.74	\$105,410	\$20,552.85	\$389,694	\$77,708.59	\$311,985.41	19.94%
Total Substance Abuse	\$6,372,608	\$1,589,707.44	\$2,213,428	\$518,481.59	\$8,586,036	\$2,108,189.03	\$6,477,846.97	24.55%

Grand Total FY15	\$21,507,811	\$5,690,849.98	\$2,710,592	\$606,583.73	\$24,218,403	\$6,297,433.71	\$17,920,969.29	26.00%
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